



PATENT  
Docket No.: 09163-20901

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Allen et al.  
Serial No. : 09/929,886      Group Art Unit : 3695  
Filed : August 15, 2001      Examiner : Chencinski  
For : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A SECURITIES TRANSACTION***

**AMENDMENT AND REQUEST FOR RECONSIDERATION**  
**(37 C.F.R. § 1.111)**

**Mail Stop Amendment**  
**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

This Amendment and Request for Reconsideration is submitted in response to an outstanding Office Action dated June 17, 2009.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 22 of this paper.